



Logius  
*Ministerie van Binnenlandse Zaken en  
Koninkrijksrelaties*

Programme of Requirements part 3a:  
Organization (G2) and Organization Person  
(G3) Domains.

Date            8 February 2019

Organization (G2) / Organization Person (G3) Domains:  
Authenticity            2.16.528.1.1003.1.2.5.1  
Non repudiation        2.16.528.1.1003.1.2.5.2  
Confidentiality         2.16.528.1.1003.1.2.5.3

## Publisher's imprint

Version number 4.7  
Contact person Policy Authority of PKIoverheid

Organization Logius

*Street address*

Wilhelmina van Pruisenweg 52

*Postal address*

P.O. Box 96810  
2509 JE THE HAGUE

T 0900 - 555 4555  
servicecentrum@logius.nl

## Contents

<b>Publisher's imprint.....</b>	<b>2</b>
<b>Contents.....</b>	<b>3</b>
<b>1 Introduction to the Certificate Policy .....</b>	<b>7</b>
1.1 Overview.....	7
1.1.1 Design of the Certificate Policy .....	7
1.1.2 Status.....	8
1.2 References to this CP.....	8
1.3 User Community .....	9
1.4 Certificate Usage.....	9
1.5 Contact information Policy Authority .....	10
<b>2 Publication and Repository Responsibilities .....</b>	<b>11</b>
2.1 Electronic Repository.....	11
2.2 Publication of TSP Information .....	11
<b>3 Identification and Authentication.....</b>	<b>12</b>
3.1 Naming .....	12
3.2 Initial Identity Validation .....	12
3.3 Identification and Authentication for Re-key Requests.....	13
<b>4 Certificate Life-Cycle Operational Requirements .....</b>	<b>14</b>
4.1 Certificate Application.....	14
4.4 Certificate Acceptance .....	14
4.5 Key Pair and Certificate Usage .....	14
4.9 Certificate Revocation and Suspension .....	14
4.10 Certificate Status Services .....	15
<b>5 Facility, Management and Operational Controls .....</b>	<b>16</b>
5.2 Procedural Controls.....	16
5.3 Personnel Controls .....	16
5.4 Audit Logging Procedures.....	16
5.5 Records Archival.....	16
5.7 Compromise and Disaster Recovery .....	16
<b>6 Technical Security Controls .....</b>	<b>17</b>
6.1 Key Pair Generation and Installation .....	17
6.2 Private Key Protection and Cryptographic Module Engineering Controls.....	17

6.3	<i>Other Aspects of Key Pair Management</i>	18
6.4	<i>Activation data</i>	18
6.5	<i>Computer Security Controls</i>	18
6.6	<i>Life Cycle Technical Controls</i>	18
6.7	<i>Network Security Controls</i>	18
<b>7</b>	<b>Certificate, CRL and OSCP profiles</b>	<b>19</b>
7.1	<i>Certificate Profile</i>	19
7.2	<i>CRL Profile</i>	19
7.3	<i>OCSP Profile</i>	19
<b>8</b>	<b>Compliance Audit and Other Assessments</b>	<b>20</b>
<b>9</b>	<b>Other Business and Legal Matters</b>	<b>21</b>
9.2	<i>Financial Responsibility</i>	21
9.5	<i>Intellectual Property Rights</i>	21
9.6	<i>Representations and Warranties</i>	21
9.8	<i>Limitations of Liability</i>	21
9.12	<i>Amendments</i>	21
9.13	<i>Dispute Resolution Provisions</i>	22
9.14	<i>Governing Law</i>	22
9.17	<i>Other provisions</i>	22
<b>Appendix A</b>	<b>Profiles certificates</b>	<b>23</b>
<b>10</b>	<b>Revisions</b>	<b>34</b>
10.1	<i>Amendments from version 4.6 to 4.7</i>	34
10.1.1	<i>New</i>	34
10.1.2	<i>Modifications</i>	34
10.2	<i>Amendments from version 4.5 to 4.6</i>	34
10.2.1	<i>Modifications</i>	34
10.3	<i>Amendments from version 4.4 to 4.5</i>	34
10.3.1	<i>New</i>	34
10.3.2	<i>Modifications</i>	34
10.3.3	<i>Editorial</i>	34
10.4	<i>Amendments from version 4.3 to 4.4</i>	35
10.4.1	<i>Modifications</i>	35
10.4.2	<i>Editorial</i>	35
10.5	<i>Amendments from version 4.2 to 4.3</i>	35
10.5.1	<i>New</i>	35
10.5.2	<i>Modifications</i>	35
10.5.3	<i>Editorial</i>	35
10.6	<i>Amendments from version 4.1 to 4.2</i>	35
10.6.1	<i>New</i>	35
10.6.2	<i>Modifications</i>	35

10.6.3	Editorial.....	35
<i>10.7</i>	<i>Amendments from version 4.0 to 4.1</i> .....	<i>35</i>
10.7.1	New .....	35
10.7.2	Modifications.....	35
10.7.3	Editorial.....	36
<i>10.8</i>	<i>Amendments from version 3.7 to 4.0</i> .....	<i>36</i>
10.8.1	New .....	36
10.8.2	Modifications.....	36
10.8.3	Editorial.....	36

The Policy Authority (PA) of the PKI for the government supports the Minister of the Interior and Kingdom Relations in managing the PKI for the government.

The PKI for the government is an agreements system. This system enables generic and large-scale use of the electronic signature, and it also facilitates remote identification and confidential communication.

The tasks of the PA of PKIoverheid are:

- contributing towards the development and the maintenance of the framework of standards that underlies the PKI for the government, the Programme of Requirements (PoR);
- assisting in the process of admittance by Trust Service Providers (TSPs) to the PKI for the government and preparing the administration;
- supervising and monitoring the activities of TSPs that issue certificates under the root of the PKI for the government.

The purpose of the Policy Authority is:

Enforcement of a practicable and reliable framework of standards for PKI services that provides an established level of security for the government's communication needs that is transparent to users.

#### *Revision control*

<b>Version</b>	<b>Date</b>	<b>Description</b>
4.0	12-2014	Ratified by the Ministry of the Interior and Kingdom Relations December 2014
4.1	07-2015	Ratified by the Ministry of the Interior and Kingdom Relations July 2015
4.2	01-2016	Ratified by the Ministry of the Interior and Kingdom Relations Januari 2016
4.3	07-2016	Ratified by the Ministry of the Interior and Kingdom Relations July 2016
4.4	01-2017	Ratified by the Ministry of the Interior and Kingdom Relations February 2017
4.5	07-2017	Ratified by the Ministry of the Interior and Kingdom Relations July 2017
4.6	01-2016	Ratified by the Ministry of the Interior and Kingdom Relations January 2018
4.7	02-2019	Ratified by the Ministry of the Interior and Kingdom Relations Februari 2019

# 1 Introduction to the Certificate Policy

## 1.1 Overview

This is part 3a of the Programme of Requirements (PoR) of the PKI for the government and is called the Certificate Policy (CP). Set out in the PoR are the standards for the PKI for the government. This section relates to the requirements laid down for the services of a Certification Service Provider (TSP) within the PKI for the government. Within the PKI for the government, a distinction is made between various domains. This document only relates to the personal certificates issued by TSPs in the Government/Companies and Organization domains.

This chapter includes a brief explanation of the CP. A more detailed explanation regarding the background and structure of the PKI for the government, as well as the cohesion between the various parts within the PoR is included in part 1 of the PoR.

For a list of the definitions and abbreviations used in this section, please refer to part 4 of the PoR.

### 1.1.1 Design of the Certificate Policy

As stated in part 1 of the PoR, the requirements that form part of the CP consist of requirements <sup>1</sup>:

- that ensue from the Dutch legal framework in relation to the electronic signature;
- that ensue from the latest version of the ETSI EN 319 411-2 standard, QCP-n-qscd (ETSI CP OID 0.4.0.194112.1.2) for non-repudiation certificates;
- that ensue from the latest version of the ETSI EN 319 411-1 standard, where policy NCP+ is applicable to authenticity and encryption certificates.;
- that are specifically drawn up by and for the PKIoverheid.

Incorporated in chapters 2 to 9 inclusive are references to the specific PKIoverheid requirements in the Additional Requirements. The table below shows the structure of the reference to the actual PKIoverheid requirement (PKIo requirement).

RFC 3647	Reference to the paragraph from the RFC 3647 structure to which the PKIo requirement relates. RFC 3647 is a PKIX framework of the Internet Engineering Task Force (IETF) and is the de facto standard for the structure of Certificate Policies and Certification Practice Statements <sup>2</sup> .
Number	Unique number of the PKIo requirement. In each paragraph, consecutive numbering is used for the PKIo requirements. In combination with the RFC 3647 paragraph number, this forms a unique label for the PKIo requirement.

This CP also includes a number of provisions that are not formulated as PKIo requirements. These provisions do not make any demands on the

<sup>1</sup> For an explanation regarding the positioning of the requirements applicable within the PKI for the government, please refer to part 1 of the PoR.

<sup>2</sup> Chapters 2 to 9 inclusive only include those paragraphs from RFC 3647 to which a PKIo requirement applies.

TSPs within the PKI for the government, but do apply as a policy to the PKI for the government. This concerns provisions from paragraphs 1.1, 1.1.1, 1.1.2, 1.2, 1.3, 1.4, 1.5, 8, 9.12.1, 9.12.2, 9.14 and 9.17.

The profiles used within PKIoverheid relating to the end user certificates are listed in appendix A. The certificate status information is listed in the basic requirements.

**1.1.2 Status**

This is version 4.7 of part 3a of the Programme of Requirements. The current version has been updated up to and including 1 February 2019.

The PA has devoted the utmost attention and care to the data and information incorporated in this CP. Nevertheless, it is possible that there are inaccuracies and imperfections. The PA accepts no liability for damage resulting from these inaccuracies or imperfections, nor is any liability assumed for damage caused by the use or distribution of this CP, if this CP is used for purposes other than for the use of certificates described in paragraph 1.4 of this CP.

**1.2 References to this CP**

Within the PKI for the government different structures or roots are used based on the SHA-256 algorithm (G2 and G3). Furthermore these structures are divided into different domains

The G2 root is divided into an Organization, a Citizen and a Autonomous Devices domain.

Under the G3 root there are domains for Organization Person, Organization Services, Citizen, and Autonomous Devices.

Each CP is uniquely identified by an OID, in accordance with the following schedule.

<b>Organization and Organization Person Domain</b>	
<b>OID</b>	<b>CP</b>
2.16.528.1.1003.1.2.5.1	for the authenticity certificate within the Organization and Organization Person domain, that contains the public key for identification and authentication. Under this OID OSCP certificates may be issued for use within the context of this CP part.
2.16.528.1.1003.1.2.5.2	for the signature certificate within the Organization and Organization Person domain, that contains the public key for the qualified electronic signature/non repudiation
2.16.528.1.1003.1.2.5.3	for the confidentiality certificate within the Organization and Organization Person domain, that contains the public key for confidentiality

The OID is structured as follows: {joint-iso-itu-t (2). country (16). the Netherlands (528). Dutch organization (1). Dutch government (1003). PKI for the government(1). CP (2). Organization Domain (5). authenticity (1)/non repudiation (2)/confidentiality (3). version number}.



If requirements only apply to one or two types of certificates, this is expressly specified by stating the Object Identifier (OID) referencing the applicable CP or CPs.

### **1.3 User Community**

Within the Government/Companies Organization and Organization Person domains, the user community consists of subscribers who are organizational entities within the government and business community (see PKIo 3.2.2-pkio14) and of certificate holders, who also belong to these subscribers. There are also individuals working in a recognized profession who are both subscriber and certificate holder. In addition there are relying parties, who act with a reliance on certificates of the relevant certificate holders.

The parties within the user community are subscribers, certificate holders and relying parties.

- A subscriber is a natural or legal personality who enters into an agreement with a TSP on behalf of one or more certificate holders for the certification of public keys. A subscriber can also be a certificate holder.
- A certificate holder is an entity, characterized in a certificate as the holder of the private key that is linked to the public key provided in the certificate. The certificate holder is either a part of an organizational entity for which a subscriber is the contracting party (organization-linked certificate holder), or the practitioner of a recognized occupation and, in that capacity, is a subscriber and therefore the contracting party (profession-linked certificate holder).
- A relying party is every natural or legal personality who is a recipient of a certificate and who acts with a reliance on that certificate.

### **1.4 Certificate Usage**

The use of certificates issued under this CP relates to communication of certificate holders who act on behalf of the subscriber.

[OID 2.16.528.1.1003.1.2.5.1]

Authenticity certificates, that are issued under this CP, can be used to reliably identify and authenticate persons, organizations and resources electronically. This concerns both the mutual identification of people and identification between people and computerized devices.

Under this OID OCSP responder certificates may be issued for use within the domain Organisation Person. Said certificates can be used to sign OCSP responses for use in the verification of the validity of the end user certificate. More information can be obtained in appendix A of the base requirements.

[OID 2.16.528.1.1003.1.2.5.2]

Signature certificates, that are issued under this CP, can be used to verify electronic signatures, that have "the same legal consequences as a handwritten signature", as stated in article 15a, first and second paragraphs, in Title 1 of Book 3 of the Dutch Civil Code (Burgerlijk Wetboek) under section 1A and are qualified certificates as referred to in article 1.1, paragraph ss of the Telecommunications Act (Telecomwet).

[OID 2.16.528.1.1003.1.2.5.3]

Confidentiality certificates, that are issued under this CP, can be used to protect the confidentiality of data that is exchanged and/or stored in an electronic form. This concerns both the mutual exchange between people and exchange between people and computerized devices.

**1.5 Contact information Policy Authority**

The PA is responsible for this CP. Questions relating to this CP can be put to the PA; the address can be found at: <http://www.logius.nl/pkioverheid>.

## 2 Publication and Repository Responsibilities

### 2.1 Electronic Repository

Contains no additional requirements

### 2.2 Publication of TSP Information

<b>RFC 3647</b>	2.2 Publication of TSP-information
<b>Number</b>	2.2-pkio3

<b>RFC 3647</b>	2.2 Publication of TSP-information
<b>Number</b>	2.2-pkio156

### 3 Identification and Authentication

#### 3.1 Naming

<b>RFC 3647</b>	3.1.3 Anonymity or pseudonymity of certificate holders
<b>Number</b>	3.1.3-pkio11

#### 3.2 Initial Identity Validation

<b>RFC 3647</b>	3.2.2 Authentication of organizational entity
<b>Number</b>	3.2.2-pkio14

<b>RFC 3647</b>	3.2.2 Authentication of organizational entity
<b>Number</b>	3.2.2-pkio16

<b>RFC 3647</b>	3.2.3 Authentication of individual identity
<b>Number</b>	3.2.3-pkio21

<b>RFC 3647</b>	3.2.3 Authentication of individual identity
<b>Number</b>	3.2.3-pkio169

<b>RFC 3647</b>	3.2.5 Validation of authority
<b>Number</b>	3.2.5-pkio29

<b>RFC 3647</b>	3.2.5 Validation of authority
<b>Number</b>	3.2.5-pkio32

<b>RFC 3647</b>	3.2.5 Validation of authority
<b>Number</b>	3.2.5-pkio160

### **3.3 Identification and Authentication for Re-key Requests**

Contains no additional requirements

## 4 Certificate Life-Cycle Operational Requirements

### 4.1 Certificate Application

Contains no additional requirements

### 4.4 Certificate Acceptance

Contains no additional requirements

### 4.5 Key Pair and Certificate Usage

Contains no additional requirements

### 4.9 Certificate Revocation and Suspension

<b>RFC 3647</b>	4.9.1 Circumstances for revocation
<b>Number</b>	4.9.1-pkio52

<b>RFC 3647</b>	4.9.3 Procedure for revocation request
<b>Number</b>	4.9.3-pkio57

<b>RFC 3647</b>	4.9.7 CRL issuance frequency
<b>Number</b>	4.9.7-pkio65

<b>RFC 3647</b>	4.9.9 On-line revocation/status checking availability
<b>Number</b>	4.9.9-pkio66

<b>RFC 3647</b>	4.9.9 On-line revocation/status checking availability
<b>Number</b>	4.9.9-pkio67

<b>RFC 3647</b>	4.9.9 On-line revocation/status checking availability
<b>Number</b>	4.9.9-pkio68

<b>RFC 3647</b>	4.9.9 On-line revocation/status checking availability
<b>Number</b>	4.9.9-pkio70

<b>RFC 3647</b>	4.9.9 On-line revocation/status checking availability
<b>Number</b>	4.9.9-pkio71

#### **4.10 Certificate Status Services**

Contains no additional requirements.

## 5 Facility, Management and Operational Controls

### 5.2 Procedural Controls

Contains no additional requirements.

### 5.3 Personnel Controls

Contains no additional requirements.

### 5.4 Audit Logging Procedures

<b>RFC 3647</b>	5.4.1 Types of events recorded
<b>Number</b>	5.4.1-pkio80

### 5.5 Records Archival

<b>RFC 3647</b>	5.5.1 Types of records archived
<b>Number</b>	5.5.1-pkio82

### 5.7 Compromise and Disaster Recovery

<b>RFC 3647</b>	5.7.4 Business continuity capabilities after a disaster.
<b>Number</b>	5.7.4-pkio86



## 6 Technical Security Controls

### 6.1 Key Pair Generation and Installation

<b>RFC 3647</b>	6.1.1 Key pair generation for the TSP sub CA
<b>Number</b>	6.1.1-pkio87

<b>RFC 3647</b>	6.1.1 Key pair generation for the certificate holders
<b>Number</b>	6.1.1-pkio88

<b>RFC 3647</b>	6.1.1 Key pair generation for the certificate holders
<b>Number</b>	6.1.1-pkio89

<b>RFC 3647</b>	6.1.2 Private key and SSCD delivery to certificate holder
<b>Number</b>	6.1.2-pkio94

### 6.2 Private Key Protection and Cryptographic Module Engineering Controls

<b>RFC 3647</b>	6.2.3 Private key escrow of certificate holder key
<b>Number</b>	6.2.3-pkio99

<b>RFC 3647</b>	6.2.3 Private key escrow of certificate holder key
<b>Number</b>	6.2.3-pkio100

<b>RFC 3647</b>	6.2.3 Private key escrow of certificate holder key
<b>Number</b>	6.2.3-pkio101

<b>RFC 3647</b>	6.2.11 Cryptographic module rating
<b>Number</b>	6.2.1-pkio104

<b>RFC 3647</b>	6.2.11 Cryptographic module rating
<b>Number</b>	6.2.1-pkio105

<b>RFC 3647</b>	6.2.11 Cryptographic module rating
<b>Number</b>	6.2.1-pkio106

### **6.3 Other Aspects of Key Pair Management**

<b>RFC 3647</b>	6.3.1 Public key archival
<b>Number</b>	6.3.2-pkio108

<b>RFC 3647</b>	6.3.2 Certificate operational periods and key pair usage periods
<b>Number</b>	6.3.2-pkio109

### **6.4 Activation data**

<b>RFC 3647</b>	6.4.1 Activation data generation and installation
<b>Number</b>	6.4.1-pkio112

<b>RFC 3647</b>	6.4.1 Activation data generation and installation
<b>Number</b>	6.4.1-pkio113

### **6.5 Computer Security Controls**

Contains no additional requirements

### **6.6 Life Cycle Technical Controls**

Contains no additional requirements.

### **6.7 Network Security Controls**

Contains no additional requirements.

## 7 Certificate, CRL and OSCP profiles

### 7.1 Certificate Profile

<b>RFC 3647</b>	7.1 Certificate Profile
<b>Number</b>	7.1-pkio149

<b>RFC 3647</b>	7.1 Certificate Profile
<b>Number</b>	7.1-pkio177

### 7.2 CRL Profile

Contains no additional requirements.

### 7.3 OCSP Profile

<b>RFC 3647</b>	7.3 OCSP profile
<b>Number</b>	7.3-pkio123

## 8 Compliance Audit and Other Assessments

All subjects relating to the conformity assessment of the TSPs within the PKI for the government are covered in PoR part 2 and the basic requirements.

## 9 Other Business and Legal Matters

### 9.2 Financial Responsibility

<b>RFC 3647</b>	9.2.1 Insurance coverage
<b>Number</b>	9.2.1-pkio124

### 9.5 Intellectual Property Rights

Contains no additional requirements.

### 9.6 Representations and Warranties

<b>RFC 3647</b>	9.6.1 CA Representations and Warranties by TSPs
<b>Number</b>	9.6.1-pkio127

<b>RFC 3647</b>	9.6.1 CA Representations and Warranties by TSPs
<b>Number</b>	9.6.1-pkio129

<b>RFC 3647</b>	9.6.1 CA Representations and Warranties by TSPs
<b>Number</b>	9.6.1-pkio131

<b>RFC 3647</b>	9.6.1 CA Representations and Warranties by TSPs
<b>Number</b>	9.6.1-pkio132

### 9.8 Limitations of Liability

<b>RFC 3647</b>	9.8 Limitations of liability
<b>Number</b>	9.8-pkio133

### 9.12 Amendments

Contains no additional requirements.

**9.13 Dispute Resolution Provisions**

Contains no additional requirements.

**9.14 Governing Law**

Contains no additional requirements.

**9.17 Other provisions**

<b>RFC 3647</b>	9.17 Other provisions
<b>Number</b>	9.17-pkio139

If by judicial decision one or more provisions of this CP are declared to be invalid or not applicable, this does not affect the validity and applicability of all other provisions.

## Appendix A Profiles certificates

Profile of the certificate for the Organization and Organization Person domains

### *Criteria*

When defining the fields and attributes within a certificate, the following codes are used:

- V : Compulsory; indicates that the attribute is compulsory and MUST be used in the certificate.
- O : Optional; indicates that the attribute is optional and MAY be used in the certificate.
- A : Advised against; indicates that the attribute is advised against and SHOULD NOT be used in the certificate.

It is not allowed to use fields that are not specified in the certificate profiles.

In the extensions, fields/attributes that are critical according to the international standards are marked with 'yes' in the 'Critical?' column to show that the relevant attribute MUST be checked by a process with which a certificate is evaluated. Other fields/attributes are shown with 'no'.

### *Naming convention Subject.commonName*

The following requirements apply to the CommonName of the Subject field. The main principle is that the TSP is responsible for correct entry of the CommonName. For a correct implementation this entails that the TSP has to be able to check each part that is entered. The CommonName has the following format<sup>3</sup>:

[aristocratic designation] [**Full first forename OR nickname**] [initials other forenames OR full other forenames] [surname prefixes + surname partner '-'] [aristocratic title] [**surname prefixes + surname at birth**]

whereby:

**text in bold** = compulsory part

*Italic* = compulsory part, style in accordance with Compulsory Identification Act document or presented Local Council Personal Records Database extract

normal = optional part; if present, the style has to be the same as the Compulsory Identification Act document or the presented Local Council Personal Records Database extract

In principle, the TSP decides whether or not optional parts are allowed. If it prefers, the TSP can leave the choice for an option to the subscriber or the party requesting the certificate. If the CommonName becomes too long for the number of characters that are allowed, optional parts have to be omitted (starting with the replacement of other forenames by initials from the last to the first position) until the name fits in the maximum field length.

---

<sup>3</sup> The presented order is not compulsory, the surname can also be given first followed by forenames/initials.

## Personal certificates

### Basic attributes

Field / Attribute	Criteria	Description	Standard reference	Type	Explanation
Version	V	MUST be set at 2 (X.509v3).	RFC 5280	Integer	Describes the version of the certificate, the value 2 stands for X.509 version 3.
SerialNumber	V	A serial number that MUST uniquely identify the certificate within the publishing CA domain.	RFC 5280	Integer	All end user certificates have to contain at least 8 bytes of unpredictable random data in the certificate's serial number (SerialNumber).
Signature	V	MUST be set on the algorithm, as stipulated by the PA.	RFC 5280, ETSI TS 102176	OID	MUST be the same as the field signatureAlgorithm. For certificates under the G2 and G3 root certificate, only sha-256WithRSAEncryption is allowed.
Issuer	V	MUST contain a Distinguished Name (DN). The field has the following attributes	PKIo, RFC3739, ETSI TS 102280		Attributes other than those mentioned below MUST NOT be used.
Issuer.countryName	V	See requirement 7.1-pkio174	ETSI TS101862, X520, ISO 3166	Printable String	
Issuer.OrganizationName	V	See requirement 7.1-pkio174	ETSI TS 102280	UTF8String	
Issuer.organizationalUnitName	O	See requirement 7.1-pkio174	ETSI TS 102280: 5.2.4	UTF8String	
Issuer.serialNumber	O	See requirement 7.1-pkio174	RFC 3739	Printable String	
Issuer.commonName	V	See requirement 7.1-pkio174	PKIo, RFC 3739	UTF8String	The commonName attribute MUST NOT be necessary in order to identify the issuing government body (no part of the Distinguished Name, requirement from RFC 3739)



Field / Attribute	Criteria	Description	Standard reference	Type	Explanation
Issuer.organizationIdentifier	V/ N	The organizationIdentifier field contains an identification of the issuing CA. This field MUST be present when the organizationIdentifier is present in the TSP certificate and MUST NOT be present when the organizationIdentifier is present in the TSP certificate.	EN 319 412-1	String	The syntax of the identification string is specified in paragraph 5.1.4 van ETSI EN 319 412-1 and contains: <ul style="list-style-type: none"> <li>• 3 character legal person identity type reference;</li> <li>• 2 character ISO 3166 [2] country code;</li> <li>• hyphen-minus "-" (0x2D (ASCII), U+002D (UTF-8)); and</li> <li>• identifier (according to country and identity type reference).</li> </ul>
Validity	V	MUST define the period of validity of the certificate according to RFC 5280.	RFC 5280	UTCTime	MUST include the start and end date for validity of the certificate in accordance with the applicable policy laid down in the CPS.
subject	V	The attributes that are used to describe the subject (end user) MUST mention the subject in a unique manner and include information about the subscriber. The field has the following attributes	PKIo, RFC3739, ETSI TS 102 280		MUST contain a Distinguished Name (DN). Attributes other than those mentioned below MUST NOT be used.
Subject.countryName	V	complete C with two-letter country code in accordance with ISO 3166-1. If an official alpha-2 code is missing, the TSP MAY use the user-assigned code XX.	RFC 3739, X520, ISO 3166, PKIo	Printable String	The country code that is used in Subject.countryName MUST correspond with the subscriber's address in accordance with the accepted document or registry.
Subject.commonName	V	The commonName attribute MUST be entered in accordance with the paragraph <i>Naming convention Subject.commonName</i> above.	RFC 3739, ETSI TS 102 280, PKIo	UTF8String	See the naming convention of Subject.commonName.
Subject.Surname	V/ O	A correct reproduction of the element of the name laid down in the CN. Based on the Compulsory Identification Act document.	RFC 3739	UTF8String	This field MUST show the subject's surname including surname prefixes correctly, as shown on the Compulsory Identification Act document.

Field / Attribute	Criteria	Description	Standard reference	Type	Explanation
Subject.givenName	V/ O	A correct reproduction of the element of the name laid down in the CN. Based on the Compulsory Identification Act document.	RFC 3739	UTF8String	This field MUST show the subject's surname including surname prefixes correctly, as shown on the Compulsory Identification Act document.
Subject.organizationName	V	Full name of the subscriber in accordance with the accepted document or Basic Registry	PKIo	UTF8String	The subscriber is the entity with which the TSP has entered into an agreement and on behalf of which or pursuant to which the certificate holder acts when using the certificate. In occupational-linked certificates this is equal to the common name of the certificate holder.
Subject.organizationalUnitName	O	Optional specification of an organizational entity. This attribute MUST NOT include a function indication or similar.	PKIo		This attribute MAY appear several times in organization-linked certificate holders. The field MUST contain a valid name of an organizational entity of the subscriber in accordance with an accepted document or registry In occupational-linked certificate holders, this attribute MUST NOT be incorporated.
Subject.stateOrProvinceName	A	The use is advised against. If present, this field MUST contain the province in which the subscriber is established in accordance with an accepted document or Basic registry.	PKIo, RFC 3739	UTF8String	Name of the province MUST correspond with the address of the subscriber in accordance with the accepted document or registry.
Subject.localityName	A	The use is advised against. If present, this field MUST contain the location of the subscriber in accordance with an accepted document or Basic registry.	PKIo, RFC 3739	UTF8String	Name of the location MUST correspond with the address of the subscriber in accordance with the accepted document or registry.
Subject.postalAddress	A	The use is advised against. If present, this field MUST contain the postal address of the subscriber in accordance with an accepted document or Basic registry.	PKIo, RFC 3739	UTF8String	The address MUST correspond with the address of the subscriber in accordance with the accepted document or registry.

Field / Attribute	Criteria	Description	Standard reference	Type	Explanation
Subject.serialNumber	V	Number to be determined by the TSP. The combination of CommonName, OrganizationName and Serialnumber MUST be unique within the context of the TSP.	RFC 3739, X 520, PKIo	Printable String	The serialnumber is intended to distinguish between subjects with the same commonName and the same OrganizationName. To avoid susceptibilities a serial Number attribute MUST be allocated to every subject.
Subject.title	O	Includes the position/function/profession/professional group of a subject.	ETSI TS 102 280, RFC 3739, RFC 5280		This attribute preferably gives static, verifiable professional titles (doctor, pharmacist, etc.), NOT the term of address (Mr, Mrs, etc.).
subjectPublicKeyInfo	V	Contains, among other things, the public key.	ETSI TS 102 280, RFC 3279		Contains the public key, identifies the algorithm with which the key can be used.

### Standard extensions

Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
authorityKeyIdentifier	V	No	The algorithm to generate the AuthorityKey MUST be created on an algorithm determined by the PA.	ETSI TS 102 280, RFC 5280	BitString	The value MUST contain the SHA-1 hash from the authorityKey (public key of the TSP/CA).
SubjectKeyIdentifier	V	No	The algorithm to generate the subjectKey MUST be created on an algorithm determined by the PA.	RFC 5280	BitString	The value MUST contain the SHA-1 hash from the subjectKey (public key of the certificate holder).
KeyUsage	V	Yes	<p>The attribute extension specifies the intended purpose of the key incorporated in the certificate. In the PKI for the government, for each certificate type various bits are incorporated in the keyUsage extension.</p> <p>In authenticity certificates the digitalSignature bit MUST be incorporated and marked as critical. Another keyUsage MUST NOT be combined with this.</p> <p>In confidentiality certificates, keyEncipherment and dataEncipherment bits MUST be incorporated and marked as critical. Another keyUsage MUST NOT be combined with this.</p> <p>In certificates for the electronic signature the non-repudiation bit MUST be</p>	RFC 3739, RFC 5280, ETSI TS 102 280	BitString	

Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
			incorporated and marked as critical. Another keyUsage MUST NOT be combined with this.			
CertificatePolicies	V	No	MUST contain the OID of the certificate policy (CP), the URI of the certification practice statement (CPS), and a user notice. The applicable PKI for the government OID scheme is described in the CP. The TSP SHOULD use UTF8String in the userNotice, but MAY use IA5String.	RFC 3739	OID, String, UTF8String or IA5String	<p>For the Government/Companies domain, the OIDs are: 2.16.528.1.1003.1.2.2.1, 2.16.528.1.1003.1.2.2.2 and 2.16.528.1.1003.1.2.2.3.</p> <p>For the Organization and Organization Person domains, the OIDs are: 2.16.528.1.1003.1.2.5.1, 2.16.528.1.1003.1.2.5.2 and 2.16.528.1.1003.1.2.5.3.</p> <p>Reference to the paragraph numbers of the PoR/CP in the user notice is advised against because the persistency of this cannot be guaranteed (unlike the OID number of the CP).</p> <p>If this concerns a profession-linked certificate, it is preferable to make a note of the fact in the user notice that the certificate holder is acting in the capacity of his/her profession.</p>
SubjectAltName	V	No	MUST be used and given a personal worldwide unique identification number.	RFC 4043, RFC 5280, PKIo, ETSI 102 280		MUST include a unique identifier in the othername attribute. Attributes other than those mentioned below MUST NOT be used.
SubjectAltName.otherName	V		MUST be used containing a unique identification number that identifies the certificate holder.	PKIo	IA5String, Microsoft UPN, IBM Principal-	Includes an <i>OID</i> of the TSP awarded by the PA to the TSP and a <i>number</i> that is unique within the namespace of that OID that permanently identifies the subject, in one of the following ways:

Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
			<p>In addition, in the authentication certificate, an 'othername' MAY be included for use with Single Sign On (SSO).</p>		<p>Name, Kerberos PrincipalName or Permanent-Identifier</p>	<ol style="list-style-type: none"> <li>1. MS UPN: <math>[number]@[OID]</math></li> <li>2. MS UPN: <math>[OID].[number]</math></li> <li>3. IA5String: <math>[OID]-[number]</math></li> <li>4. Permanent Identifier:                      Identifiervalue = <math>[number]</math>                      Assigner = <math>[OID]</math></li> </ol> <p>Alternative 1. is also suitable for SSO. If a second othername for SSO is given in the certificate, the SSO othername MUST be given first in the SubjectAltName, before the PKIoverheid format othername described above, in order to ensure the proper operation of the SSO mechanism. It is recommended that an existing registration number from back office systems is used, in combination with a code for the organization. In combination with the TSP OID, this identifier is internationally unique. This number MUST be persistent.</p>
SubjectAltName.rfc822Name	A		<p>MAY be used for the certificate holder's e-mail address, for applications that need the e-mail address to be able to function properly.</p>	RFC 5280	IA5String	<p>For PKIoverheid certificates in the Government/Companies and Organization domains, the use of e-mail addresses is advised again, because e-mail addresses of certificate holders often change and furthermore are privacy sensitive (spam).</p> <p>If the e-mail address is included in the certificate, the TSP MUST:</p> <ul style="list-style-type: none"> <li>• have the subscriber sign his/her approval for these and;</li> <li>• check that the e-mail address belongs to the subscriber's domain, or;</li> <li>• check that the e-mail address belongs to the subscriber (e.g. the professional) and that this person has access to the e-mail address (for example by performing a challenge response).</li> </ul>

Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
subjectDirectoryAttributes	O	No		RFC 5280; RFC 3739		The use of this extension is allowed. These attributes MAY NOT contain personal data that can impair the subject's privacy.
BasicConstraints	O	Yes	The "CA" field MUST be omitted (default value is then "FALSE").	RFC 5280		A (Dutch language) browser can then be seen: "Subjecttype = Eidentiteit", "Beperking voor padlengte = Geen" ("Subject type = End Entity", "Path length constraint = None")
CRLDistributionPoints	V	No	MUST include the URI of a CRL distribution point.	RFC 5280, ETSI TS 102 280		The reference MUST be accessible through the http or LDAP protocol. The attribute Reason MUST NOT be used, reference MUST be made to 1 CRL for all types of reasons for revocation. In addition to CRL, other types of certificate status information service MAY be supported.
ExtKeyUsage	V	No		RFC 5280	KeyPurposeId's	<ul style="list-style-type: none"> <li>See requirement 7.1-pkio149.</li> </ul>
FreshestCRL	O	No	MUST contain the URI of a Delta CRL distribution point, if Delta CRLs are used.	RFC 5280, PKIo		Delta-CRLs are an optional extension. In order to fulfil the requirements of PKIoverheid a TSP MUST also publish full CRLs at the required release frequency.

Private extensions

Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
authorityInfoAccess	O	No	This attribute MUST include the URI of an OCSF responder if Online Certificate Status Protocol (OCSP) plays a role.			This field can optionally be used to reference other additional information about the TSP.
SubjectInfoAccess	O	No		RFC 5280	OID, Generalname	This field can be used to reference additional information about the subject, provided that the information that is offered does not infringe the privacy of the subject.
BiometricInfo	O	No	Contains the hash of a biometric template and optionally a URI that references a file with the biometric template itself.	RFC 3739		
QcStatement	V/ N	No	<p>Certificates for the electronic signature MUST indicate that they are issued as qualified certificates complying with annex I of EU regulation 920/2014. This compliance is indicated by including the <i>id-etsi-qcs-QcCompliance</i> statement in this extension.</p> <p>Certificates for the electronic signature MUST indicate that they are issued as type of certificate complying with annex I of EU regulation 920/2014. This compliance is indicated by including the <i>id-etsi-qct-esign</i> statement in this extension.</p> <p>Certificates for the electronic signature MUST indicate that the private key that is part of the public key in the certificate is</p>	RFC 3739, ETSI TS 102 280, ETSI TS 101 862	OID	<p>The aforementioned QcStatement identifiers relate to the following OIDs:</p> <ul style="list-style-type: none"> <li>• id-etsi-qcs-QcCompliance { id-etsi-qcs 1 } 0.4.0.1862.1.1</li> <li>• id-etsi-qct-esign { id-etsi-qcs-QcType 1 } 0.4.0.1862.1.6.1</li> <li>• id-etsi-qcs-QcSSCD { id-etsi-qcs 4 } 0.4.0.1862.1.4</li> <li>• id-etsi-qcs-QcPDS { id-etsi-qcs 5 } 0.4.0.1862.1.5</li> </ul>



Field / Attribute	Criteria	Critical?	Description	Standard reference	Type	Explanation
			<p>saved on a qualified signature creation device (QSCD) complying with annex II of EU regulation 920/2014. This compliance is indicated by including the <i>id-etsi-qcs-QcSSCD</i> statement in this extension.</p> <p>Certificates for the electronic signature MUST contain a reference to the location of the PKI Disclosure Statement (PDS). This URL must present in the <i>id-etsi-qcs-QcPDS</i> statement in this extension.</p> <p>The certificates for authenticity and the certificates for confidentiality MUST NOT use this extension.</p>			

## 10 Revisions

### 10.1 Amendments from version 4.6 to 4.7

#### 10.1.1 *New*

- Requirement 3.2.5-pkio160, list of limitative professions (transferred from PvE part 4) (effective date immediately after publication PVE 4.7)
- Requirement 7.1-pkio177 (effective date immediately after publication PVE 4.7)
- Requirement 3.2.3-pkio169 (effective date 4 weeks after publication of PVE 4.7)

#### 10.1.2 *Modifications*

- Authentic proof for practicing a recognized profession combined in requirement 3.2.5-pkio29 (effective date immediately after publication PVE 4.7)
- Reference to CWA 14 169 amended to EN 419 211 for QSCDs. This also sets requirements for issuing QSCDs for requirements 6.1.1-pkio88, 6.2.11-pkio104, 6.2.11-pkio105, 6.2.11-pkio106, 6.4.1-pkio112 and 4.9.1-pkio52.
- Limitative list of professions updated, "municipal" tax bailiff (latest effective date 4 weeks after publication of PVE 23)
- Description of a number of certificate attributes replaced by reference to requirement 7.1-pkio174 (effective date 8 weeks after publication PVE 4.7)

### 10.2 Amendments from version 4.5 to 4.6

#### 10.2.1 *Modifications*

- Modified reference to ETSI certificate profiles (effective date directly after publication of PoR 4.6)
- Certificate profile, remove exception subject.surname and subject.givenname (effective date directly after publication of PoR 4.6)

### 10.3 Amendments from version 4.4 to 4.5

#### 10.3.1 *New*

- Mandatory English CPS (requirement 2.2-pkio3, effective date 1-10-2017)
- Mandatory yearly renewal CPS (requirement 2.2-pkio156, effective date 1-1-2017)

#### 10.3.2 *Modifications*

- Requirement 4.9.9-pkio67 now references RFC6960 instead of RFC2560 (effective date 31-12-2016)
- Allow/require EKU emailProtection in authenticity and non-repudiation certificates in requirement 7.1-pkio149 (effective date 1-4-2017)
- Change in OID 2.16.528.1.1003.1.2.5.1 to also cover OCSP responder certificates (effective date 1-7-2017)
- Mandatory use of field "NextUpdate" in OCSP responses (requirement 4.9.9-pkio71, effective date 1-7-2017)

#### 10.3.3 *Editorial*

- Removed typos from certificate profile.

#### **10.4 Amendments from version 4.3 to 4.4**

##### *10.4.1 Modifications*

- Removal of requirement 5.3.2-pkio79 (effective date 1-2-2017)
- Clarification of issuer.organizationIdentifier field (effective date 1-2-2017)
- Tightening of use optional EKUs that conflict with the parent TSP CA certificate (effective date 1-2-2017)

##### *10.4.2 Editorial*

- Replaced CSP (Certificate Service Provider) with TSP (Trust Service Provider) in accordance with eIDAS directive.

#### **10.5 Amendments from version 4.2 to 4.3**

##### *10.5.1 New*

- Addition of issuer.organizationalIdentifier in the certificate profile (effective date 1-7-2016)

##### *10.5.2 Modifications*

- Description with attribute CertificatePolicies (effective date 1-7-2016)
- Removal optional use KeyAgreement with Key Usage (effective date no later than 4 weeks after publication of PoR 4.3)
- Mandatory QcStatement qualified certificate (effective date 1-7-2016)
- ETSI TS 102 176-1 replaced by ETSI TS 119 312 (effective date no later than 4 weeks after publication of PoR 4.3)
- Use of values in BasicConstraints field no longer permitted in end entity certificates (effective date 1-7-2016)
- Use of "Any ExtendedKeyUsage" in end entity certificates no longer permitted (effective date 1-11-2016)

##### *10.5.3 Editorial*

- Removed references to G1 (expired) and clarified reference to G3 (domains).

#### **10.6 Amendments from version 4.1 to 4.2**

##### *10.6.1 New*

- Requirement 7.1-pkio149 (effective date 1 July 2016)

##### *10.6.2 Modifications*

None

##### *10.6.3 Editorial*

None

#### **10.7 Amendments from version 4.0 to 4.1**

##### *10.7.1 New*

- Certification against ETSI TS 102 042 (effective date no later than 4 weeks after publication of PoR 4.1)

##### *10.7.2 Modifications*

- Description of the attribute Subject.organizationName (effective date no later than 4 weeks after publication of PoR 4.1)

*10.7.3 Editorial*

- Small editorial changes to the following requirements:
  - 3.1.3-pkio11;
  - 3.2.5-pkio32;
  - 5.7.4-pkio86;
  - 9.6.1-pkio131.

**10.8 Amendments from version 3.7 to 4.0**

*10.8.1 New*

- Requirement 5.5.1-pkio82

*10.8.2 Modifications*

- PoR requirements have been renumbered according to a new naming convention;
- The creation of a document containing the baseline and additional requirements;
- Changes to requirements can be found in the baseline and additional requirements documents respectively.

*10.8.3 Editorial*

Editorial changes to requirements can be found in the baseline and additional requirements documents respectively. These changes have no effect on the content of the information.